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| 10/002,461 | 11/01/2001 | Keith R. Slavin | DB000955-000 | 5286 |
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/002,461

Applicant(s)

SLAVIN, KEITH R.

Examiner

Reba I. Elmore

Art Unit

2189

Period for Reply -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 07 March 2008.
2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-38 and 41044 is/are pending in the application.
4a) Of the above claim(s) _____ is/are withdrawn from consideration.
5) ☐ Claim(s) _____ is/are allowed.
6) ☒ Claim(s) 1-38 and 41-44 is/are rejected.
7) ☐ Claim(s) _____ is/are objected to.
8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3) ☐ Information Disclosure Statement(s) (PTO-8508)
Paper No(s)/Mail Date _____
4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date _____
5) ☐ Notice of Informal Patent Application
6) ☐ Other: _____

DETAILED ACTION

1. Claims 1-38 and 41-44 are presented for examination. Claims 39 and 40 have been cancelled.

DRAWINGS

2. The objections to the drawings are *withdrawn* due to the amendment.

SPECIFICATION

3. The objection to the abstract is *withdrawn* due to the amendment.
4. The specification has not been checked to the extent necessary to determine the presence of all possible minor errors. Applicant's cooperation is requested in correcting any errors of which applicant may become aware in the specification.

35 USC 112, 1st Paragraph

5. The rejection of claims 1-38 and 41-44 under 35 USC § 112, 1st paragraph is *maintained* and repeated below.
6. The following is a quotation of the first paragraph of 35 USC § 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

7. Claims 1-38 and 41-44 are rejected under 35 USC 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

8. Delaying the input of the comparand word to the CAM has been claimed in claims 4, 11, 17, 24 and 34. A delay circuit is shown in Figures 1 and 5, elements 28 and 114, respectively, however, nothing more than a blank box or a black box type design is depicted which fails to give any details for one of ordinary skill in the memory arts to make and use a delay circuit in conjunction with a content addressable memory without undue experimentation. The delay circuit is mentioned on page 4, paragraph 0019 and page 14, paragraph 0057 of the specification without providing sufficient technical details for essential subject matter. The claims state that the input word or comparand word is delayed in being input to the CAM until the enabling is completed, however, nothing is given which describes how a delay of the input is to be determined or what parameters must be met for a 'delay circuit' to be used. How does the determination of the enabling get performed and how is that determination used to notify the delay circuitry for the comparand word to be input to the CAM.

9. The written description does not detail how claimed aspects related to the delay circuit are to be made or performed. The law requires that the written description be clear and precise as to how the Applicant performs such activities as those claimed. If memory elements are not limited as to which types can be used and steps or instructions are not limited as to what step or instruction is performed, if the only 'teaching' is one of ordinary skill in the art already knows how to make and use, then where is the inventiveness of the present invention? The novelty of the present invention must be disclosed in such detail as to allow one of ordinary skill in the art to make and use the invention without undue experimentation. Such details for the actual inventive concepts have not been given in the present disclosure. Legal support for these reasons for a determination that the written disclosure is not adequate can be found in the recent

US Court of Appeals for the Federal Circuit, Automotive Technologies International, Inc., v. BMS of North America, Inc ... (2006-1013,-1037).

35 USC § 102

10. The rejection of claims 41-44 as being anticipated by Cheriton (P/N 7,002,965) is

maintained and repeated below.

11. The following is a quotation of the appropriate paragraphs of 35 USC § 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

12. Claims 41-44 are rejected under 35 USC 102(e) as being anticipated by Cheriton (P/N 7,002,965).

13. Cheriton teaches the invention (claim 41) as claimed including a method of initializing hardware, the method comprising:

transferring network address to a CAM based on an index to a hash table (e.g., see col. 6, lines 8-25);

transferring port numbers to an output memory device responsive to the CAM (e.g., see col. 3, lines 12-42);

modifying bit prefix values to obtain a ternary representation (e.g., see col. 4, lines 27-42);

calculating bank run length information as packet information (e.g., see col. 6, lines 43-49); and,

loading starting address and bank run length information into a plurality of memory devices as packet information including IP source and destination addresses, protocol type information as well as other data associated with the packets (e.g., see col. 6, line 43 to col. 7, line 21).

As to claim 42, Cheriton teaches periodically transferring invalid addresses to the CAM as specialized rules which allow restrictive protocols of the TCAM (e.g., see col. 4, lines 43-60).

As to claim 43, Cheriton teaches transferring port information to an SRAM for prefixes below a certain length (e.g., see col. 3, lines 12-42).

14. As to claim 44, Cheriton teaches bank run length information includes one of an end address and an address span as packet information (e.g., see col. 6, line 43 to col. 7, line 21).

35 USC § 103

15. The rejection of claims 1-38 as being unpatentable over Hariguchi et al. (P/N 6,665,297) in view of Cheriton (P/N 7,002,965) is ***maintained*** and repeated below.

16. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

17. Claims 1-38 are rejected under 35 USC 103(a) as being unpatentable over Hariguchi et al. (P/N 6,665,297) in view of Cheriton (P/N 7,002,965).

18. Hariguchi teaches the invention (claims 1, 8, 15, 22, 28 and 34) as claimed including a method or circuit, the method or circuit comprising:

inputting an input word or comparand word with the word being Internet addresses which have different prefixes or indexes to a plurality of hash circuits, each hash circuit being responsive to a different portion of the input word as there being different hash circuits which are used dependent upon the prefix length with each hash circuit determining a match based upon a predetermined portion of the address (e.g., see Figures 2A-2B with support at col. 1, lines 23-50 and col. 5, lines 15-31);

outputting a hash signal from each hash circuit as each hash circuit (e.g., see elements 82-*n* of Figure 2A) having an output to the selection stage (e.g., see element 88 of Figure 2A);

enabling or precharging portions of a CAM in response to the hash signals as portions of the CAM being enabled when the hash signals indicating the CAM has a match of the prefix (e.g., see col. 7, line 50 to col. 8, line 3);

inputting the input word or comparand word to the CAM as inputting the IP destination address to the hash circuits and the CAM (e.g., see Figure 7, step 204);

comparing the Internet address in the CAM as identifying a hit from the comparison of the IP destination address and the contents of the CAM (e.g., see Figure 7);

outputting information responsive to the comparing of the IP destination address from either the hash circuits of the CAM (e.g., see Figure 7, steps 208 and 210);

a plurality of memory devices responsive to the hash circuits as hash buckets which respond to the entries of the hash stages and look-up tables (e.g., see Figures 2A-2B with support at col. 5, lines 15-63);

enable logic, responsive to the plurality of memory devices, for enabling portions of the CAM as being inherent as the CAM must have enable logic; and,

a delay circuit for inputting the comparand word or Internet address as stages of a pipeline with the hash circuit having two pipeline stages which means the hash bucket stage is at a later stage or is delayed according to clock cycles from the first stage or hash stage (e.g., see col. 5, lines 15-31).

Hariguchi teaches the limitations of the independent claims as given above, however, the primary reference does not specifically teach using hash signals to enable portions of a CAM. Cheriton (P/N 7,002,965), the secondary reference teaches using a hash function to enable or choose portions of a CAM as generating classification indications which allows for packet classification in network routers (e.g., see Figure 3 with support at col. 6, line 43 to col. 7, line 21). It would have been obvious to one of ordinary skill in the memory arts at the time the invention was made to use hashing to select addresses in a CAM because using a CAM (or a TCAM) as a routing table or directory is a well known and common use of content addressable memories (e.g., see the background of Cheriton) and using hashing functionality for determining addresses for Internet address routing and address port information is also a common, well known type of addressing. The combination of adding hash type addressing to using CAMs or TCAMs for routing data provides for a fast, methodical and reasonable use of current technology.

As to claims 2, 9, and 16, Hariguchi teaches assigning a mask to each hash circuit such that each hash circuit is responsive to a different n-bit portion of the input word or comparand word as different n-bit portions of the IP destination address being an associated prefix length of a predetermined portion of the address (e.g., see col. 5, lines 15-31).

As to claims 3, 10, 17 and 23, Hariguchi teaches inputting the least significant n bits of the input word or IP destination address to a memory and wherein the outputting selects between

information responsive to finding a match of the address being found in the look-up tables or memory associated with the different hash circuits and the CAM (e.g., see Figure 2B with support at col. 5, lines 50-63).

As to claims 4, 11, 18 and 24, Hariguchi teaches delaying the inputting of the input word or comparand word to the CAM until the enabling or precharging is completed as part of the router control procedure which is stored in the memory for controlling the overall operation of the router (e.g., see col. 4, lines 31-62).

As to claims 5, 12, 19 and 25, Hariguchi teaches enabling includes using the hash signals to select from a plurality of stored signals and using the selected stored signals to enable or precharge a portion of the CAM (e.g., see col. 4, line 63 to col. 5, line 14).

As to claims 6-7, 13-14, 20-21 and 26-27, Hariguchi does not specifically teach the stored signals include using a run length or ending index in conjunction with a starting index, however, the reference does teach using a starting index to help select a network destination for the sending of a datagram. Sending a datagram means the length of the data to be sent must also be specified or known which indicates using either a run length or an ending index for the determination of a message length because the purpose of the invention as taught by Hariguchi is to select an Internet address for sending a datagram or message which means sending not only the address information but also sending the length of the datagram whether the length is conveyed as a run length or as an ending index.

As to claim 29, Hariguchi teaches the circuit is responsive to the hash signals includes a plurality of memory devices respond to the hash signals and enable logic for the plurality of memories with the memories being buffers, tables, registers and the CAM (e.g., see Figures 2A-2B).

As to claims 30 and 35, Hariguchi does not specifically teach using SRAMs for portions of the memory, however, the reference does teach using semiconductor memory including random access memory. Cheriton teaches using on-chip SRAM for the hash directory (e.g., see col. 6, lines 43-65). It would have been obvious to one of ordinary skill in the memory arts to combine the teachings of Cheriton with the teachings of Hariguchi because both references use hash tables in the same manner with both references using semiconductor memory for this function. The present invention does not further define using SRAM for the hash circuitry over any other type of random access memory, therefore making it obvious to use SRAM as given in the secondary reference.

As to claims 31 and 36, Hariguchi teaches an output memory devices responsive to the CAM for outputting information in response to a match in the CAM as the selection stage (e.g., see Figure 2B with support at col. 5, lines 50-63).

As to claims 32 and 37, Hariguchi teaches an input memory device responsive to a portion of the comparand word and a switch responsive to the input memory device and the output memory device with the switch being inherent as part of the circuitry for the selection stage (e.g., see Figures 2A-2B).

As to claims 33 and 38, Hariguchi teaches having a processor (e.g., see Figures 2A-2B, element 54), the plurality of hash circuits as hash table having hash circuits with hash stages and hash bucket stages (e.g., see Figures 2A-2B, element 70) with the circuit response to the hash circuits receiving information from the processor (e.g., see Figures 2A-2B) as the information from the processor including router control procedures which uses the routing table (e.g., see Figures 2A-2B). Figure 4 also shows data and addresses from the CPU being input to the hash circuit and the hash bucket circuitry.

RESPONSE TO APPLICANT'S REMARKS

19. Applicant's arguments filed March 7, 2008 have been fully considered but they are not persuasive.
20. The delay circuit is considered 'essential subject matter' because claims state 'comprising delaying the inputting' which is only given in the specification in paragraph 0057 in relationship to a delay circuit. Every element of the claimed invention must be taught within the specification. Delay circuitry may be known to one of ordinary skill in the memory art, however, how a delay circuit is constructed and/or used in relationship to the present invention still requires an adequate explanation within the specification if limitations are claimed which relate to a delay circuit. If the Applicant is in fact saying the 'delaying the inputting of the input word to the CAM until the enabling is completed' can be done by one of ordinary skill in the art without any further explanation, why is this limitation being claimed in a dependent claim as part of the Applicant's invention?
21. The rejection based on using 'permissive language' is *withdrawn* from the rejection under 35 USC 112, 1st paragraph.
22. As to rejections being considered 'weak' because they were not previously given, this argument is not valid. Objections and rejections can be made at any point in the prosecution of the application. The law requires the Examiner to allow the Applicant an opportunity to respond to each new rejection before the office action can be made final. In the case of the rejection under 35 USC 112, 1st paragraph, this is the second office action in which the rejection has been presented.
23. As to remarks concerning the rejection based on anticipation using the Cheriton, whether or not the reference discloses initializing the TCAM/CAM classifier is not pertinent. The

reference states the memory component configuration can be expanded in known architecture and methods which would include the TCAM/CAM being configured into multiple banks. The description of the present invention does not provide details in relationship to 'initializing hardware having a CAM divided into a plurality of banks', the CAM is stated as being initialized. The TCAM/CAM of the reference is also initialized since it is an active element in the invention of the reference, this limitation is taught to the extent required by the actual claim language. The body of claim 41 does not provide details relating to the use of multiple banks. The reference teaches at least one TCAM/CAM component which is considered equivalent to a 'bank' and the reference also teaches the ability to have multiple elements of the memory subsystem. Organizing memory into banks with the banks having starting, ending and run length addresses is common and well known in the memory arts. The Applicant is demanding a more detailed teaching in the reference that the present specification provides for teaching the claimed invention. Hash functions are used to identify a portion of a CAM for use with the selection logic or the selection logic can receive an input direction from the TCAM for use. The hash function results in being used in one or more CAMs which teaches 'portions' of a content addressable memory being enabled and not just the 'entire CAM' as suggested by the Applicant. This is an argument directed toward semantics and not structure.

OFFICE ACTION FINALITY

24. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after

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the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

CONCLUSION

25. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Reba I. Elmore, whose telephone number is (571) 272-4192. The examiner can normally be reached on Monday and Thursday from 7:30am to 6:00pm, EST.

If attempts to reach the examiner by telephone are unsuccessful, the art unit supervisor for AU 2189, Reginald G. Bragdon, can be reached for general questions concerning this application at (571) 272-4204. Additionally, the official fax phone number for the art unit is (571) 273-8300.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Tech Center central telephone number is (571) 272-2100.

/Reba I. Elmore/
Primary Patent Examiner
Art Unit 2189

Tuesday, June 10, 2008